

MP 143

**Response To Notice To File Missing Parts Of Application**

**NOV 18 2002 Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.

IBM 0115

In Re Application Of: **GARCIA ET AL**

Serial No.

10/091,796

Filing Date

MARCH 6, 2002

Examiner

Group Art Unit

2121

Invention: **Artificially Intelligent Arbitration System for Optimizing Multiple Processes Sharing a Resource**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

September 10, 2002

*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Assignment**

NOV 18 2002 Res

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**Invention: Artificially Intelligent Arbitration System for Optimizing Multiple Processes Sharing a Resource**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

### Box Missing Parts

☐ Completion of application fees as calculated below:

- |   |                 |
|---|-----------------|
| <input type="checkbox"/> Utility application filing fee   | _____           |
| <input type="checkbox"/> Design application filing fee  | _____           |
| <input type="checkbox"/> Total number of independent claims = _____   | _____           |
| <input type="checkbox"/> Total number of claims = _____   | _____           |
| <input type="checkbox"/> Multiple dependent claims  | _____           |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <b>\$130.00</b> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____           |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____           |
| <input type="checkbox"/> Fee for processing and retention of application  | _____           |

**Total completion of application fees                \$130.00**

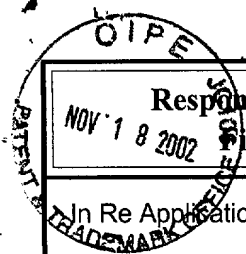
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

- ☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
*Date Date*

Total time extension fees

**Total fees due                      \$130.00**



**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**IBM 0115**

In Re Application Of: **GARCIA ET AL**

Serial No.  
**10/091,796**

Filing Date  
**MARCH 6, 2002**

Examiner

Group Art Unit  
**2121**

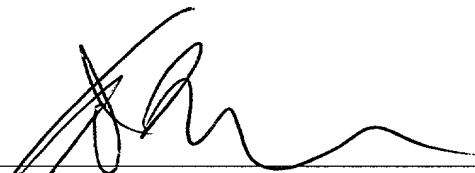
Invention: **Artificially Intelligent Arbitration System for Optimizing Multiple Processes Sharing a Resource**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-2121**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-2121**  
A duplicate copy of this sheet is enclosed.

  
\_\_\_\_\_  
Signature

Dated: **November 12, 2002**

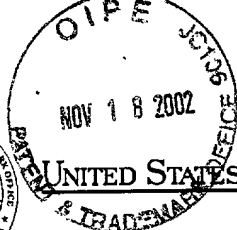
**Edmund P. Pfleger**  
**Reg. No. 41,252**  
**Grossman Tucker Perreault & Pfleger**  
**55 South Commercial Street**  
**Manchester, New Hampshire 03101**

I certify that this document and fee is being deposited on **November 12, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

**April Davis**  
Typed or Printed Name of Person Mailing Correspondence

cc:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,796	03/06/2002	Enrique Garcia	IBM 0115

CONFIRMATION NO. 8791

Edmund P. Pfleger  
Grossman, Tucker, Perreault & Pfleger, PLLC  
55 South Commerical Street  
Manchester, NH 03101

RECEIVED  
SEP 16 2002

FORMALITIES LETTER



GROSSMAN, TUCKER,  
PERREAULT & PFLEGER, PLLC

Date Mailed: 09/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

2002T091796-110001

11/19/2002 KZENDIE 00000100 10091796

01 FC:1051

130.00 OP

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

2009-09-14 14:00:00